SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Zhen Marianne			. Date of Event Requiring Staten Month/Day/Year 18/03/2018	nent	3. Issuer Name and Ticker or Trading Symbol <u>Innoviva, Inc.</u> [INVA]							
	(First) POINT PARK	(Middle) WAY				ionship of Reporting Perso all applicable) Director		10% Owne	er	5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 500					Х	Officer (give title below) Chief Accountin	I	Other (spe below) <mark>fficer</mark>	спу	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) BRISBANE	СА	94005					0 -					y More than One
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			ct (D)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						24,104 ⁽¹⁾		D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
Expiratio			Expiration Da			3. Title and Amount of Securit Underlying Derivative Securit			4. Conver or Exer Price o	cise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Explanation of R			Date Exercisable	Expiration Date	Title			Amount or Number of Shares	Derivat Securit	ive	or Indirect (I) (Instr. 5)	

1. Includes 18,257 shares of common stock granted pursuant to time-based restricted stock awards (RSAs). The RSAs provide that 25% of the shares underlying any grant vests approximately one year following grant and 6.25% of the shares underlying the grant vest quarterly thereafter on the Issuer's standard vesting dates, provided the Reporting Person has provided continuous service to the Issuer through the applicable vesting date.

<u>/s/ Marianne Zhen</u> ** Signature of Reporting Person

<u>08/13/2018</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.